

Gröbner Bases For Feistel Designs With Application To GMiMC

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- In past 10 years many novel symmetric designs for Homomorphic Encryption (HE), Multi-Party Computation (MPC) and Zero-Knowledge (ZK) have been introduced.
 - Native over prime field \mathbb{F}_p .
 - Multiplicative complexity one of the main performance metrics.
 - Often low degree polynomials at round level.
 - Compiled list of Arithmetization-Oriented (AO) Primitives:
<https://stap-zoo.com/all-stap-primitives/>.
- Algebraic attacks major challenge in cryptanalysis.
 - Interpolation attacks.
 - Polynomial system solving.

- Long track record in the literature.
- Well-understood statistical properties.
- Very flexible.

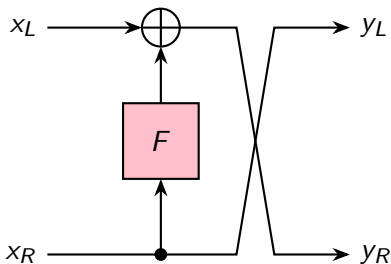


Figure: Classic two branch Feistel Network.

Feistel Designs

- GMiMC (MPC, ZK) [AGP⁺19a].
- Ciminion (MPC) [GØSW23].
- Rubato (HE) [HKL⁺22].

Designs With Feistel Components

- Hydra (MPC) [GØSW23].
- Anemoi (ZK) [BBC⁺23].
- Monolith (ZK) [GKL⁺24].

- Invented by Austrian mathematician Bruno Buchberger in his PhD thesis at Universität Innsbruck [Buc65].
- Provides a general framework to solve fully determined polynomial systems.
- **Terminology:**
 - K a field, \mathbb{F}_q a field with q elements.
 - $P = K[x_1, \dots, x_n]$.
 - $I \subset P$ is an ideal if:
 - $0 \in I$.
 - $a, b \in I \Rightarrow a - b \in I$.
 - $r \in P, a \in I \Rightarrow a \cdot r \in I$.
 - We think of a polynomial system $\mathcal{F} \subset P$ as ideal (\mathcal{F}) .

Term Orders on P

- A monomial $m = \prod_{i=1}^n x_i^{a_i} \in P$ can be identified with a vector $\mathbf{a} \in \mathbb{Z}_{\geq 0}^n$.
- A term order $>$ on P satisfies:
 - $>$ is a total ordering on $\mathbb{Z}_{\geq 0}^n$.
 - $\mathbf{a} > \mathbf{b}$ and $\mathbf{c} \in \mathbb{Z}_{\geq 0}^n$, then $\mathbf{a} + \mathbf{c} > \mathbf{b} + \mathbf{c}$.
 - $>$ is a well-ordering on $\mathbb{Z}_{\geq 0}^n$.
- $f \in P$, then $\text{LM}_{>}(f)$ is the largest monomial in f under $>$.

Degree Reverse Lexicographic (DRL) Term Order

$\mathbf{a} >_{DRL} \mathbf{b}$ if either

- $\sum_{i=1}^n a_i > \sum_{i=1}^n b_i$, or
- $\sum_{i=1}^n a_i = \sum_{i=1}^n b_i$ and right-most non-zero entry of $\mathbf{a} - \mathbf{b}$ is negative.

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Gröbner Basis

- $I \subset P$ ideal, $>$ term order on P .
- $\mathcal{G} \subset P$ is Gröbner basis if
 - $\langle \mathcal{G} \rangle = I$, and
 - $(\text{LM}_{>}(g) \mid g \in \mathcal{G}) = (\text{LM}_{>}(f) \mid f \in I)$.

Pairwise Coprime Leading Monomials

- $\mathcal{G} \subset P$, $>$ term order on P .
- $\forall f, g \in \mathcal{G}, f \neq g,$

$$\gcd\left(\mathrm{LM}_{>}(f), \mathrm{LM}_{>}(g)\right) = 1.$$

- $\Rightarrow \mathcal{G}$ is $>$ -Gröbner basis [CLO15, Chapter 2 §9].

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Example

- $\mathcal{F} = \{f_1, \dots, f_n\} \subset K[x_1, \dots, x_n]$ such that for all $1 \leq i \leq n$

$$\mathrm{LM}_{\mathrm{DRL}}(f_i) = x_i^d.$$

- $\Rightarrow \mathcal{F}$ is DRL Gröbner basis.

- Operates on \mathbb{F}_q^n .
- Cubing as non-linear function.
- $c_i \in \mathbb{F}_q$ round constant.
- Two key sizes: $\mathbf{k} \in \mathbb{F}_q^n$ or $k \in \mathbb{F}_q$.
- Sponge mode for hash function.

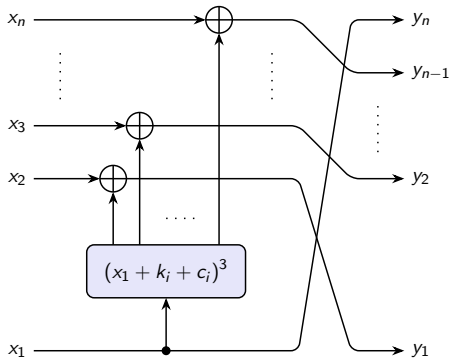


Figure: Keyed GMiMC_{erf} round function.

- Multivariate key $\mathbf{k} \in \mathbb{F}_q^n$.
 - Needs key schedule matrix $\mathbf{M}_{\mathcal{K}} \in \mathbb{F}_q^{n \times n}$ such that
 - $\det(\mathbf{M}_{\mathcal{K}}) \neq 0$, and
 - for all $1 \leq i \leq \lceil \frac{r}{n} \rceil$ all entries in $\mathbf{M}_{\mathcal{K}}^i$ are non-zero.
 - Set $\mathbf{K} = \left(\mathbf{M}_{\mathcal{K}} \mathbf{k}, \dots, \mathbf{M}_{\mathcal{K}}^{\lceil \frac{r}{n} \rceil} \mathbf{k} \right)^T \in \mathbb{F}_q^{n \cdot \lceil \frac{r}{n} \rceil}$.
 - $k_i = K_i$.

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 - $k_i = K_i$.
- Univariate key $k \in \mathbb{F}_q$.
 - $k_i = k$ for all rounds.

- $\mathbf{p}, \mathbf{c} \in \mathbb{F}_q^n$ encrypted under key $\mathbf{k} \in \mathbb{F}_q^n$.

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- Introduce variables after every round function

$$\mathcal{F}_{\text{GMiMC}_{\text{erf}}} : \begin{cases} \mathcal{R}^{(1)}(\mathbf{p}, \mathbf{y}) - \mathbf{x}^{(1)} = 0, \\ \mathcal{R}^{(i)}(\mathbf{x}^{(i-1)}, \mathbf{y}) - \mathbf{x}^{(i)} = 0, & 2 \leq i \leq r-1, \\ \mathcal{R}^{(r)}(\mathbf{x}^{(r-1)}, \mathbf{y}) - \mathbf{c} = 0, \end{cases}$$

where $\mathbf{y} = (y_1, \dots, y_n)^\top$ and $\mathbf{x}^{(i)} = (x_1^{(i)}, \dots, x_n^{(i)})$.

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where $\mathbf{y} = (y_1, \dots, y_n)^\top$ and $\mathbf{x}^{(i)} = (x_1^{(i)}, \dots, x_n^{(i)})$.

- $\mathcal{F}_{\text{GMiMC}_{\text{erf}}} \subset \mathbb{F}_q[\mathbf{x}^{(i)}, \mathbf{y} \mid 1 \leq i \leq r-1]$ consists of $r \cdot n$ equations in $r \cdot n$ variables.

- $\mathbf{Y} = \left(\mathbf{y}, \mathbf{M}_{\mathcal{K}} \mathbf{y}, \dots, \mathbf{M}_{\mathcal{K}}^{\lceil \frac{r}{n} \rceil - 1} \mathbf{y} \right)^{\top}$.

- For $1 < i < r$ let us take a closer look:

$$\begin{pmatrix} x_2^{(i-1)} + \left(x_1^{(i-1)} + Y_i + c_i \right)^3 \\ \vdots \\ x_n^{(i-1)} + \left(x_1^{(i-1)} + Y_i + c_i \right)^3 \\ x_1^{(i-1)} \end{pmatrix} = \begin{pmatrix} x_1^{(i)} \\ \vdots \\ x_n^{(i)} \end{pmatrix}.$$

- Transform to

$$\begin{pmatrix} x_2^{(i-1)} + \left(x_1^{(i-1)} + Y_i + c_i \right)^3 \\ -x_2^{(i-1)} + x_3^{(i-1)} \\ \vdots \\ -x_2^{(i-1)} + x_n^{(i-1)} \\ x_1^{(i-1)} \end{pmatrix} = \begin{pmatrix} x_1^{(i)} \\ -x_1^{(i)} + x_2^{(i)} \\ \vdots \\ -x_1^{(i)} + x_{n-1}^{(i)} \\ x_n^{(i)} \end{pmatrix} .$$

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- Transform $\mathcal{F}_{\text{GMiMC}_{\text{erf}}}$ to r cubic polynomials \mathcal{F}_{cub} and $r \cdot (n-1)$ affine polynomials \mathcal{F}_{lin} .

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- Transform $\mathcal{F}_{\text{GMiMC}_{\text{erf}}}$ to r cubic polynomials \mathcal{F}_{cub} and $r \cdot (n-1)$ affine polynomials \mathcal{F}_{lin} .
- If $\text{rank}(\mathcal{F}_{\text{lin}}) = r \cdot (n-1)$, then reduce to r cubic equations in r variables.

- What happens to the cubic term $\left(x_1^{(i-1)} + Y_i + c_i\right)^3$?
 - $x_1^{(i-1)} + Y_i \bmod (\mathcal{F}_{\text{lin}}) = \mathcal{L}_i + a_i$, where \mathcal{L}_i is a linear polynomial and $a_i \in \mathbb{F}_q$.

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- If we can perform a change of variables $\hat{x}_i = \mathcal{L}_i$, then

$$\mathcal{F}_{\text{cub}} \mapsto \left\{ \hat{x}_i^3 + \alpha_i \cdot \hat{x}_i^2 + \mathcal{A}_i = 0, \quad 1 \leq i \leq r, \right.$$

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- Change of variables possible if $\text{rank}(\mathcal{L}_1, \dots, \mathcal{L}_r) = r$.
- For DRL pairwise coprime leading monomials \Rightarrow DRL Gröbner basis.

- For change of variables we must have that $\text{rank}(\mathcal{F}_{\text{lin}}) = r \cdot (n - 1)$ and $\text{rank}(\mathcal{L}_1, \dots, \mathcal{L}_r) = r$.
 - For efficient verification we can delete constant terms in \mathcal{F}_{lin} .
 - Add the linear equations from the cubic terms.

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 - Add the linear equations from the cubic terms.
- E.g., for $1 < i < r$ this yields

$$\left\{ \begin{array}{l} x_1^{(i-1)} + Y_i, \\ \left(-x_2^{(i-1)} + x_{j+1}^{(i-1)} \right) - \left(-x_1^{(i)} + x_j^{(i)} \right), \\ x_1^{(i-1)} - x_n^{(i)} \end{array} \right\}_{2 \leq j \leq n-1} .$$

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- Implement computer algebra program of your choice.

Example 1

- $q = 2^{64} - 2^{32} + 1$, $n = 3$, $\mathbf{M}_{\mathcal{K}} = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{pmatrix}$.
- Change of variables works for all integers $r \in [5, 100]$ with $r \not\equiv -1 \pmod{6}$.

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Example 2

- $q = 2^{31} - 1$, $n = 4$, $\mathbf{M}_{\mathcal{K}} = \begin{pmatrix} 5 & 7 & 1 & 3 \\ 4 & 6 & 1 & 1 \\ 1 & 3 & 5 & 7 \\ 1 & 1 & 4 & 6 \end{pmatrix}$.
- Change of variables works for all integers $r \in [5, 100]$.

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$$P = \mathbb{F}_q[\hat{x}_i \mid 1 \leq i \leq r]$$

- Want to solve the GMiMC DRL Gröbner basis for the key

$$\mathcal{G}_{\text{GMiMC}_{\text{erf}}}: \left\{ \hat{x}_i^3 + \alpha_i \cdot \hat{x}_i^2 + \mathcal{A}_i = 0, \quad 1 \leq i \leq r. \right.$$

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- As \mathbb{F}_q -vector spaces

$$R := P / \left(\mathcal{G}_{\text{GMiMC}_{\text{erf}}} \right) \cong P / (\hat{x}_i^3 \mid 1 \leq i \leq r),$$

$$\dim_{\mathbb{F}_q}(R) = 3^r.$$

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- As \mathbb{F}_q -vector spaces

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$$\dim_{\mathbb{F}_q}(R) = 3^r.$$

- For every $f \in P$, the multiplication map

$$\theta_f : R \rightarrow R, \quad x \mapsto x \cdot f$$

is \mathbb{F}_q -linear \Rightarrow *Multiplication Matrix* $\mathbf{M}_f \in \mathbb{F}_q^{3^r \times 3^r}$.

- If $\mathbf{a} \in \overline{\mathbb{F}_q}^r$ is root of $(\mathcal{G}_{\text{GMiMC}_{\text{erf}}}) \Rightarrow f(\mathbf{a})$ is eigenvalue of \mathbf{M}_f [KR16, Proposition 6.2.1].

- If $\mathbf{a} \in \overline{\mathbb{F}_q}^r$ is root of $(\mathcal{G}_{\text{GMiMC}_{\text{eff}}}) \Rightarrow f(\mathbf{a})$ is eigenvalue of \mathbf{M}_f [KR16, Proposition 6.2.1].
- Let $\mathcal{B}' \subset \mathbb{F}_q[\hat{x}_i \mid 2 \leq i \leq r]/(\hat{x}_i^3 \mid 2 \leq i \leq r)$ be a vector space basis, then

$$\mathcal{B} = \mathcal{B}' \cup \hat{x}_1 \cdot \mathcal{B}' \cup \hat{x}_1^2 \cdot \mathcal{B}'$$

is vector space basis of \mathcal{R} .

- Then for $f = \hat{x}_1$ [BBL⁺24, Lemma 2]

$$\mathbf{M}_{\hat{x}_1} = \begin{pmatrix} \mathcal{B}' & \hat{x}_1 \cdot \mathcal{B}' & \hat{x}_1^2 \cdot \mathcal{B}' \\ 0 & \mathbf{I} & 0 \\ 0 & 0 & \mathbf{I} \\ \mathbf{M}_0 & \mathbf{M}_1 & \mathbf{M}_2 \end{pmatrix} \begin{matrix} \hat{x}_1 \cdot \mathcal{B}' \\ \hat{x}_1^2 \cdot \mathcal{B}' \\ \hat{x}_1^3 \cdot \mathcal{B}' \end{matrix},$$

$$\begin{aligned} \chi_{\hat{x}_1}(x) &= \det(\mathbf{I} \cdot x - \mathbf{M}_{\hat{x}_1}) \\ &= \pm \det(\mathbf{I} \cdot x^3 - \mathbf{M}_2 \cdot x^2 - \mathbf{M}_1 \cdot x - \mathbf{M}_0). \end{aligned}$$

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- Characteristic polynomial can be computed in [BBL⁺24, §3.2]

$$\mathcal{C}_{\text{char-poly}} = \mathcal{O}\left(3 \cdot \log_2(3)^2 \cdot \left(1 + \log_2(\log_2(3))\right) \cdot 3^{\omega \cdot (r-1)}\right)$$

field operations, where $2 \leq \omega < 2.371552$ [WXXZ24].

$\text{GMiMC}_{\text{erf}}$ Gröbner basis

$$\hat{x}_1^3 + \alpha_1 \cdot \hat{x}_1^2 + \mathcal{A}_1 = 0,$$

$$\hat{x}_2^3 + \alpha_2 \cdot \hat{x}_2^2 + \mathcal{A}_2 = 0,$$

$$\vdots$$

$$\hat{x}_n^3 + \alpha_n \cdot \hat{x}_n^2 + \mathcal{A}_n = 0.$$

 $\text{GMiMC}_{\text{erf}}$ substitution variables

$$\hat{x}_1 = y_1,$$

$$\hat{x}_2 = x_1^{(1)} + y_2,$$

$$\vdots$$

$$\hat{x}_n = x_1^{(n-1)} + y_n,$$

- Characteristic polynomial for $\hat{x}_1 \Rightarrow$ Key guess for y_1 .

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- Characteristic polynomial for $\hat{x}_1 \Rightarrow$ Key guess for y_1 .
- Substitute $\hat{x}_1 = y_1$ back into system to eliminate \hat{x}_1 .

$\text{GMiMC}_{\text{erf}}$ Gröbner basis

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- Characteristic polynomial for $\hat{x}_1 \Rightarrow$ Key guess for y_1 .
- Substitute $\hat{x}_1 = y_1$ back into system to eliminate \hat{x}_1 .
- Ignore first polynomial \Rightarrow DRL Gröbner basis in $r - 1$ variables.

$\text{GMiMC}_{\text{erf}}$ Gröbner basis

$$\hat{x}_1^3 + \alpha_1 \cdot \hat{x}_1^2 + \mathcal{A}_1 = 0,$$

$$\hat{x}_2^3 + \alpha_2 \cdot \hat{x}_2^2 + \mathcal{A}_2 = 0,$$

$$\vdots$$

$$\hat{x}_n^3 + \alpha_n \cdot \hat{x}_n^2 + \mathcal{A}_n = 0.$$

 $\text{GMiMC}_{\text{erf}}$ substitution variables

$$\hat{x}_1 = y_1,$$

$$\hat{x}_2 = x_1^{(1)} + y_2,$$

$$\vdots$$

$$\hat{x}_n = x_1^{(n-1)} + y_n,$$

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- Substitute $\hat{x}_1 = y_1$ back into system to eliminate \hat{x}_1 .
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- Characteristic polynomial for $\hat{x}_2 \Rightarrow$ Key guess for y_2 .
- Iterate.

- Dominating complexity for iterated solving

$$\mathcal{O} \left(\sum_{i=1}^n N^{i-1} \cdot \mathcal{C}_{\text{char-poly}}(r-i+1, \omega) \right)$$

$$\in \mathcal{O} \left(3 \cdot \log_2(3)^2 \cdot \left(1 + \log_2(\log_2(3)) \right) \cdot \frac{3^{\omega \cdot r} - N^n}{3^\omega - N} \right),$$

where N is a bound on the \mathbb{F}_q -valued solutions in each iteration.

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- N a priori unknown but can be avoided with additional plain/ciphertext samples.

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 - Compute characteristic polynomials in parallel.

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- N a priori unknown but can be avoided with additional plain/ciphertext samples.
 - Compute DRL Gröbner bases in parallel.
 - Compute characteristic polynomials in parallel.
 - Filter key guesses via GCD.

- 1 Motivation
- 2 Gröbner Bases
- 3 $\text{GMiMC}_{\text{erf}}$
- 4 Constructing A $\text{GMiMC}_{\text{erf}}$ Gröbner Basis
 - Examples
- 5 System Solving With A $\text{GMiMC}_{\text{erf}}$ Gröbner Basis
- 6 $\text{GMiMC}_{\text{erf}}$ Cryptanalysis
 - What went wrong?
- 7 Further Outlook
- 8 References

- GMiMC team claimed there is trade-off between algebraic and statistical cryptanalysis.

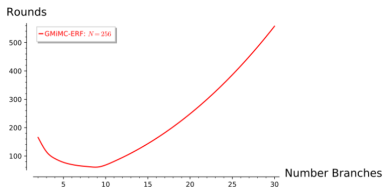
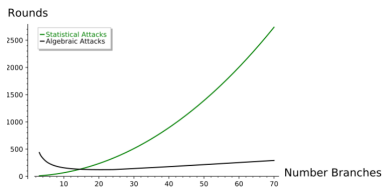
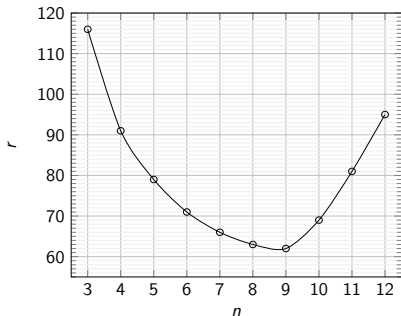
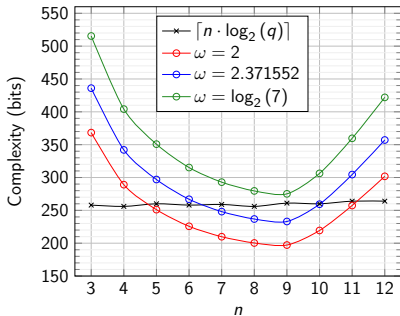
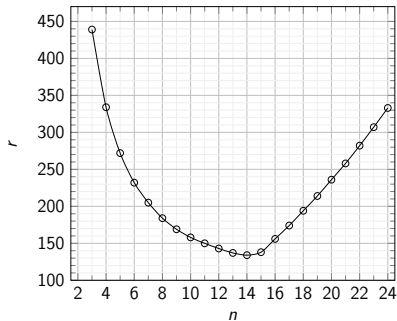
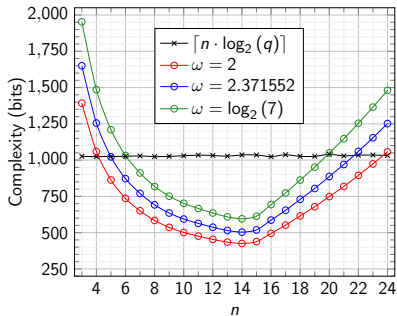
(a) $\kappa = 256$.(b) $\kappa = 1024$.

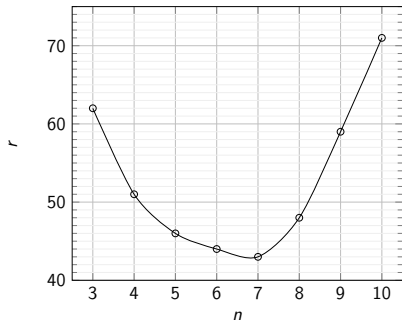
Figure: Figures from [AGP⁺19b, Fig. 5, 7].

- For Gröbner basis cryptanalysis of GMiMC_{erf}:
 - Fix security level κ .
 - Increase $n \in \mathbb{Z}_{\geq 0}$ and set $\log_2(q) = \lceil \frac{\kappa}{n} \rceil$.
 - Multivariate key $\mathbf{k} \in \mathbb{F}_q^n$.
 - Compute round number r for (q, n, κ) for GMiMC_{erf} with round numbers tool.¹
 - Assume that we can construct DRL Gröbner basis via substitution.
 - Use $N = 1$ in $\mathcal{O} \left(3 \cdot \log_2(3)^2 \cdot \left(1 + \log_2(\log_2(3)) \right) \cdot \frac{3^{\omega \cdot r} - N^n}{3^{\omega} - N} \right)$.

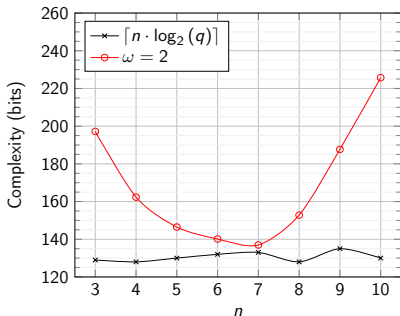
¹<https://extgit.iaik.tugraz.at/krypto/gmimc-implementation>

(a) Round numbers, $\kappa = 256$.(b) Complexities, $\kappa = 256$.

(a) Round numbers, $\kappa = 1024$.(b) Complexities, $\kappa = 1024$.



(a) Round numbers, $\kappa = 128$.



(b) Complexities, $\kappa = 128$.

What went wrong? I

- GMiM_{C_{erf}} team modeled GMiM_{C_{erf}} as n polynomials in n key variables of degrees $\leq 3^r$.
 - Complexity under regularity assumption: $\approx \mathcal{O} \left(\binom{n+r^{r-n}}{3^{r-n}}^\omega \right)$.

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- $\text{GMiMC}_{\text{erf}}$ team modeled $\text{GMiMC}_{\text{erf}}$ as n polynomials in n key variables of degrees $\leq 3^r$.
 - Complexity under regularity assumption: $\approx \mathcal{O}\left(\binom{n+r^{r-n}}{3^{r-n}}^\omega\right)$.
- Computing $\text{GMiMC}_{\text{erf}}$ Gröbner basis for r cubic polynomials.
 - Complexity under regularity assumption: $\mathcal{O}\left(\binom{3\cdot r+1}{2\cdot r+1}^\omega\right)$.

What went wrong? I

- $\text{GMiMC}_{\text{erf}}$ team modeled $\text{GMiMC}_{\text{erf}}$ as n polynomials in n key variables of degrees $\leq 3^r$.
 - Complexity under regularity assumption: $\approx \mathcal{O}\left(\binom{n+r^{r-n}}{3^{r-n}}^\omega\right)$.
- Computing $\text{GMiMC}_{\text{erf}}$ Gröbner basis for r cubic polynomials.
 - Complexity under regularity assumption: $\mathcal{O}\left(\binom{3\cdot r+1}{2\cdot r+1}^\omega\right)$.
- Solving $\text{GMiMC}_{\text{erf}}$ system after change of variables.
 - Complexity: $\approx \mathcal{O}(3^{\omega\cdot r})$.

What went wrong? II

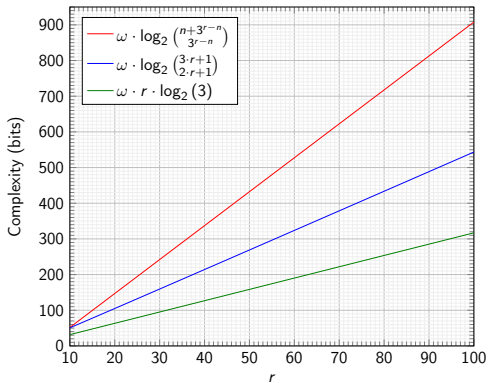


Figure: $\text{GMiMC}_{\text{erf}}$ complexity gap with $n = 3$ and $\omega = 2$.

- “Variable elimination + change of coordinates \Rightarrow Gröbner basis” can be applied to other Feistel designs too.
 - Other members of GMiMC family (GMiMCHash, GMiMC_{crf}).
 - Hydra [Ste24].
- Can be used at design stage to have Gröbner basis from the start.
- When is the GMiMC analysis going to be public?
 - In my PhD thesis: soon.
 - In a paper: later.



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